






Appendix A Risks and Action Plan Risk Identified	Risk Owner	Action Required to Address Risk	Target Date	Risk Category	Current position / progress	Status	Status	Current Rating
		in order to reduce the risk	for action completion	Strategic, Operational, Project	as at 12/09/2017	as at Dec 16	as at Mar 17	as at June 17
 1 Potential Claims growth	Chief Executive	The Council has robust systems in place both to deal with claims when they happen and also to prevent, where possible, the circumstances where claims could arise. In doing so, the Council has in place policies and procedures designed to enhance safety at work and also to advise staff and others when driving or operating machinery. The Council checks, on a regular basis, that it is up to date on best practice in this area and that systems reflect changes in the local, national or international environments		Strategic	Risks reviewed and noted that this area is of growing significance with the number and value of claims increasing. Further actions reviewed. Consideration was given to potential control measures, but these are addressed by the existing further actions.	I = 3 L = 3 High 9	I = 3 L = 3 High 9	I = 3 L = 3 High 9

**Notable High and Medium Risks -  
Appendix A**

<b>Appendix A</b> Risks and Action Plan <b>Risk Identified</b>	<b>Risk Owner</b>	<b>Action Required to Address Risk</b>  in order to reduce the risk	<b>Target Date</b>  for action completion	<b>Risk Category</b>  Strategic, Operational, Project	<b>Current position / progress</b>  as at 12/09/2017	<b>Status</b>  as at Dec 16	<b>Status</b>  as at Mar 17	<b>Current Rating</b>  as at June 17
 Financial consequences of adverse planning decisions	Regeneration and Development	Housing Clarification Statement preparation to assist members and officers in dealing with housing development proposals in advance of the Local Plan being adopted	Feb-17	Strategic	Completion of this action has been delayed because of the priority given to undertaking key aspects of the Local Plan. Report to go to Planning Committee June 2017.	I = 3 L = 3 <b>High 9</b>	I = 3 L = 3 <b>High 9</b>	I = 3 L = 3 <b>High 9</b>
 Fire risk occurrence	Corporate Health and Safety			Operational	Staff trained in evacuation, dealing with fire and suppression, evacuation procedures listed, partner organisations trained. Whilst this is a high risk all controls work. The risk rating increased to a high 9 due to two incidents in the past 4-6 months		I = 3 L = 1 <b>Medium 3</b>	I = 3 L = 3 <b>High 9</b>

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  4 Failure to deliver Local Air Quality Management action plans	Environmental Protection	Deliver Air Quality Action Plan to DEFRA. Failure to manage air quality in accordance with statutory requirements and not addressing risks to residents health in affected areas. The minister has reserve power functions and judicial review of the council function /decision making may be called. Development and delivery of measures requires buy in from key stakeholders.	Sep-17	Operational	The action plan should have been delivered during 2016/17, however it has been revised to be delivered during Q2 of 2017/18 - this will be reviewed at the end of September 2017		I = 2 L = 1 Low 2	I = 2 L = 3 Medium 8

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<div data-bbox="44 539 174 608" style="background-color: orange; width: 58px; height: 43px; margin-left: -10px; margin-bottom: 10px;"></div> <div data-bbox="100 679 125 711" style="font-size: 24px; font-weight: bold; margin-left: -10px; margin-bottom: 10px;">5</div> Loss of Specialist equipment - servers	ICT	With the move to Castle House, the council's business continuity plan needs to be fully reviewed, taking in to account the interim period before re-location	Dec-17	Business Impact Assessment	12/09/17 - Update – ICT have completed the migration of the Council's principal data centre to the Kidsgrove Customer Service Centre and further backup facilities have been introduced at the Depot. The new Kidsgrove site contains sufficient capacity to run all of the Council's services with multiple virtual server sets providing support for the Council's line of business applications and virtual PC infrastructure. The centre has an increased data bandwidth, 5 times greater than in previous iterations and now has dual wide area network links to geographically separated sites. The backup facilities introduced at the Depot have the capacity to run a significant volume of the Council's production services should a disaster happen at Kidsgrove. The depot site also has multiple high capacity links ensuring that the failure of a single provider cannot disrupt services. Data is continually replicated between our production and backup sites, ensuring that for critical Council infrastructure, recovery point losses are kept to a minimum. Services will be contacted again to discuss their Service Continuity arrangements and ensure that ICT's service priority list is ordered appropriately. The introduction of Castle House will significantly impact on service area continuity plans as the loss of a single site will no longer have the capacity to restrict all of the Council's operations. Increased numbers of agile workers and greater recovery capacity should all assist in making the Council's disaster recovery plans more sustainable and realistic. Further work will be undertaken once the move to Castle House has been completed.	as at Dec 16	as at Mar 17	as at June 17  I = 1 L = 3 Medium 7